

General Risk Assessment



Document Configuration Management & Control

Version	Description	Originated	Reviewed	Approved

General Risk Assessment for Hilton Parish Council

'Risk is the threat that an event or action will adversely affect an organisation's ability to achieve its objectives and to successfully execute its strategies. Risk management is the process by which risks are identified, evaluated and controlled. It is a key element of the framework of governance together with community focus, structures and processes, standards of conduct and service delivery arrangements.' Audit Commission, Worth the Risk: Improving Risk Management in Local Government, (2001: 5)

Risk management is an essential feature of good governance. An organisation that manages risk well is more likely to achieve its objectives. It is vital to recognise that risk management is not simply about health and safety but applies to all aspects of Hilton Parish Council's work.

This document has been created to enable Hilton Parish Council to assess the risks that it faces and satisfy itself that it has taken adequate measures to control them. Hilton Parish Council is aware that although some risks can never be eliminated fully, it has in place a strategy that provides a structured, systematic, and focussed approach to managing risk, which:

- Identifies the key risks facing the council
- Identifies what the risk may be
- Identifies the level of risk
- Evaluates the management and control of the risk and records findings
- Reviews, assesses, and revises procedures if required.

Assets

No	ITEM	HAZARD	THOSE IN DANGER	SEVERITY 1-10	LIKELIHOOD 1-10	RISK RATE	MEASURES /COMMENTS	RESULT
A1	Jubilee Playground	Play Equipment Vandalism Cost of Replacement	Injury to people using Play Equipment	8	4	32	Monthly written inspection actioned by a Maintenance Person. Annual inspection carried out by ROSPA Dated Insurance including Public Liability.	A

No	ITEM	HAZARD	THOSE IN DANGER	SEVERITY 1-10	LIKELIHOOD 1-10	RISK RATE	MEASURES /COMMENTS	RESULT
A2	Fencing at Play Area & Signage	Vandalism Repair cost Cost of Replacement	Pedestrians	4	4	16	Dated insurance including Public Liability	T
A3	Trees on the Green including in the Grove	Falling Branches/ Debris	Injury to Pedestrians, pets and vehicles	8	4	32	Annual Visual inspection of trees by Tree Warden and Tree Surgeon Dated Insurance including Public Liability	T
A4	Pavilion	Vandalism Repair cost Cost of Replacement	Pedestrians	4	4	16	Dated insurance including Public Liability	T
A5	Bus shelter	Impact damage Vandalism Cost of Replacement	Pedestrians	4	4	16	Repair cost Dated insurance including Public Liability	T
A6	Wilderness Gate and Fencing on the Green	Vandalism Repair costs Cost of Replacement	Pedestrians	4	4	16	Repair cost Dated insurance including Public Liability	T
A7	Lych Gate Memorial	Cost of replacement Damage to Memorial Vandalism	Loss of Heritage	1	4	4	Dated insurance, including Public Liability	T

No	ITEM	HAZARD	THOSE IN DANGER	SEVERITY 1-10	LIKELIHOOD 1-10	RISK RATE	MEASURES /COMMENTS	RESULT
A8	Maze	Cost of repair Damage to Monument Vandalism	Loss of Heritage	1	4	4	Dated insurance, including Public Liability	T
A9	Information Board at the Maze	Vandalism Cost of Replacement	Pedestrians	4	1	4	Dated insurance, including Public Liability	T
A10	Notice Board at the Shop	Vandalism Impact Damage Cost of Replacement	Pedestrians	4	1	4	Dated insurance, including Public Liability	T
A11	Notice Board in Westbrook	Vandalism Impact Damage Cost of Replacement	Pedestrians	4	1	4	Dated insurance, including Public Liability	T
A12	Notice Board outside Village Hall	Vandalism Impact Damage Cost of Replacement	Pedestrians	4	1	4	Dated insurance, including Public Liability	T
A13	10 park benches and 4 picnic benches	Vandalism Impact damage Cost of Replacement	Pedestrians	4	4	16	Dated insurance, including Public Liability Make contingency provision in Parish Council Reserves	T

No	ITEM	HAZARD	THOSE IN DANGER	SEVERITY 1-10	LIKELIHOOD 1-10	RISK RATE	MEASURES /COMMENTS	RESULT
A14	Football goal posts	Vandalism Impact damage Cost of Replacement	Pedestrians	4	1	4	Dated insurance, including Public Liability	T
A15	Streelights	Vandalism Impact damage Cost of Replacement	Pedestrians	4	4	16	Dated insurance, including Public Liability Make contingency provision in Parish Council Reserves	T
A16	Village Sign	Vandalism Impact damage Cost of Replacement	Pedestrians	4	4	16	Dated insurance, including Public Liability	T
A17	Bridge on the Green	Vandalism Impact damage Cost of Replacement	Pedestrians	4	4	16	Dated insurance, including Public Liability Make contingency provision in Parish Council Reserves	T
A18	Beacon	Vandalism Impact damage Cost of Replacement	Pedestrians	4	4	16	Dated insurance, including Public Liability	T
A19	Laptop & Printer	Cost of replacement Repair cost Accidental Damage	Clerk Councillors Parishioners	4	4	16	Dated Insurance Including Public Liability	T

Management

No	ITEM	HAZARD	PERSONS IN DANGER	SEVERITY 1-10	LIKELIHOOD 1-10	RISK RATE	MEASURES /COMMENTS	RESULT
M1	Failure to attract sufficient candidates for Member vacancies or elections	Reduced representation of neighbourhoods Lack of resource Possible meeting inquorate	Members	2	2	4	Actively publicise Council activities Seek candidates amongst friends and colleagues Publicise elections & vacancies on notice boards Publicise elections & vacancies in Spectrum and on website /Facebook	A
M2	Failure to achieve quorum at meetings	Business not transacted Decisions not made	Members Clerk	1	3	3	Issue annual meeting calendar to all members Issue meeting agendas promptly Record attendance Contact members who fail to attend meetings	T
M3	Lack of public consultation by Council	Decisions not based on evidence People disenfranchised	Members	1	2	2	Ensure meetings publicised on notice boards Use Annual Parish Meeting Place articles in Spectrum Include public participation on all agendas Ensure seating available at meeting for public Provide advice for members of the public attending Publish agendas and minutes on website	T
M4	Failure to respond to electors wishing to exercise right of inspection	Complaints received Not transparent Non-compliance	Clerk	1	2	2	Clerk to advertise facility, and respond to requests	A
M5	Members acting alone outside meetings	Members outside compliance Indemnities invalid Personal risk	Members	2	2	4	Obtain and read 'Good Councillor Guide' Avoid making commitments on behalf of the council attend relevant training course	A

No	ITEM	HAZARD	PERSONS IN DANGER	SEVERITY 1-10	LIKELIHOOD 1-10	RISK RATE	MEASURES /COMMENTS	RESULT
M6	Council decisions not implemented	Confidence undermined Reputation risk arises Possible losses	Clerk	1	4	4	Clerk to publish marked unapproved minutes to be considered at next meeting	A
M7	Inaccurate, untimely, improper minutes	Poor decisions in future Poor evidence for decisions	Clerk	1	3	3	Clerk to check minutes with Councillors not more than 10 working days after meeting Minutes published unapproved	A
M8	Inadequate document control	Poor evidence Poor support to Members	Clerk	1	2	3	Clerk to establish filing and retrieval system Clerk to enforce document version control	A
M9	Failure to recognise and address conflict of interest	Lack of transparency Open to complaints of fairness or bias	Members Clerk	1	2	2	Members to review Code of Conduct	A
M10	Incomplete/inaccurate register of Members' interests	Lack of transparency Open to complaints of fairness or bias	Members	1	2	2	Members to review Code of Conduct	A
M11	Loss of data on PC due to system fault	Interruption to effective administration Possible financial loss	Clerk	1	4	4	External hard-drive Monthly back up	A
M12	Lack of professional advice	Poor decisions Costs and waste Possible noncompliance	Clerk	2	2	4	Maintain membership of CAPALC, NALC and SLCC	A
M13	Loss of services of Parish Clerk	Interruption to effective administration	Members Clerk	2	2	2	Locum Panel of experienced Parish and Town Clerks provided by the Society of Local Council Clerks able to assist in an emergency	A

No	ITEM	HAZARD	PERSONS IN DANGER	SEVERITY 1-10	LIKELIHOOD 1-10	RISK RATE	MEASURES /COMMENTS	RESULT
M14	Lack of defined objectives or strategy	Resources not directed Poor performance Risks not base lined	Members	1	2	2	Council to produce an agreed 3 year Corporate Plan	A
M15	Lack of public participation at meetings	Public voice not heard Potential lack of interest in vacancies Lack of transparency	Members	1	2	2	Ensure meetings publicised on notice board Place articles in Spectrum Include public participation on all agendas Ensure seating available at meeting for public Provide advice for members of the public attending Publish agendas and minutes on website	A
M16	Allegations of libel or slander	Potential for litigation Costs of investigation Reduces confidence	Members	1	3	3	Clerk to intervene at meetings Review all press releases or newsletter articles before release Adequate Insurance Cover	A
M17	Bad publicity	Reduces confidence	Members Clerk	1	3	3	Review all press releases or newsletter articles before release Manage press relations	A
M18	Non-compliance with data protection	Litigation Poor reputation	Members Clerk	1	3	3	Clerk to monitor	A
M19	Failure to correctly identify local needs or wishes	Council does not represent the people Resources not applied Democratic deficit	Members	1	3	3	Maintain close contact with local residents Advertise parish meetings to obtain residents' feedback Use questionnaires to identify local wishes Publicise plans and invite comments	A

Financial

No	ITEM	HAZARD	PERSONS IN DANGER	SEVERITY 1-10	LIKELIHOOD 1-10	RISK RATE	MEASURES /COMMENTS	RESULT
F1	Financial	Misappropriation of Council Funds Financial loss	Public Services	1	2	2	All Banking Arrangements and changes to banking services approved by the council and recorded in the minutes Pay invoices by cheque/internet banking Two councillors to sign each cheque, invoice and Cheque stub. Internet Banking two Councillors to authorise online. Annual scrutiny of all Financial Records by Finance Committee & internal auditor.	A
F2	Income Ensuring that all requirements are met under custom & excise regulations	Unable to fulfil responsibilities	Public Service	1	2	2	Ensure Council understands and complies with current VAT legislation	A
F3	Failure to complete/submit Annual Return on time	Poor Auditors report Public confidence suffers	Clerk	1	3	3	Clerk to maintain diary	A
F4	Improper contracting procedures	Possible losses Poor levels of service Possible increased costs	Clerk	1	3	3	2015 national model adopted Separate financial regs Clerk adequately trained	A
F5	Accidental damage to fixed assets	Costs of repair Loss of service until repaired	Clerk	2	2	4	Maintain insurance Playground inspection regime established. Inspections of Speed Indicator Device established.	A

No	ITEM	HAZARD	PERSONS IN DANGER	SEVERITY 1-10	LIKELIHOOD 1-10	RISK RATE	MEASURES /COMMENTS	RESULT
F6	Orders for Work, goods and services Monitoring of performances against agreed standards under partnership agreements	Unable to fulfil responsibilities	Public Service	1	2	2	Reviewed at internal audit	A
F7	Vandalism to fixed assets	Costs of repair Loss of service until repaired	Clerk	3	2	6	Maintain inspection regime Maintain insurance Liaison with Police	A
F8	Loss to third parties	Possible litigation Costs/damages	Clerk	1	3	3	Review health & safety ensure adequate insurance check contractors insurance	A
F9	Inadequate insurance	Balance of costs to be found	Clerk	1	4	4	Council to review annually or if circumstances change	A
F10	Failure to calculate/submit precept on time	Inadequate resources to meet commitments Costs of re-billing	Clerk	1	3	3	Clerk to respond to Huntingdonshire District Council notices Agenda item for Members to consider and approve.	A
F11	Inadequate annual precept and unsound budget	Inadequate resources to meet commitments	Members	1	4	4	Clerk and Members to build sound budget, using risk register and known commitments. Members to consider Reserves Policy built into Financial Regulations.	A
F12	Failure to account for and recover VAT	Wasted resources	Clerk	1	3	3	Clerk to review Internal auditor to check	A

No	ITEM	HAZARD	PERSONS IN DANGER	SEVERITY 1-10	LIKELIHOOD 1-10	RISK RATE	MEASURES /COMMENTS	RESULT
F13	Failure to stay within agreed budgets	Inadequate control Potential wasted resources	Members	1	2	2	Clerk to review Internal auditor to check Reserves Policy to mitigate short-term impact of loss.	A
F14	Holding excessive or inadequate reserves	Auditors report Poor use of resources Inability to meet commitments	Members	2	3	6	Clerk to review as part of budgeting Reserves Policy to set percentage of precept. Council to review size of Reserves	A
F15	Fraud by Clerk	Reputation Costs, Litigation	Clerk	1	3	3	Adequate internal audit Regular reporting to members Control systems for managing expenditure	A
F16	Fraud by Members	Reputation Costs, Litigation	Clerk	1	3	3	Adequate internal audit Regular reporting to members Control systems for managing expenditure	A
F17	Inadequate awareness of relevant legislation	Failure to comply	Members Clerk	2	3	6	Maintain membership of CAPALC/NALC Clerk to train/qualify	A
F18	Failure to comply with relevant legislation	Litigation Costs Reputation damage	Members Clerk	2	3	6	Maintain membership of CAPALC/NALC Clerk to maintain training Liaise with internal and external auditors	A
F19	Failure to maintain fixed assets register	Improper control Poor auditor's report	Clerk	1	2	2	Council to review Internal audit to review	A
F20	Improper financial records	Potential for wasted resources	Clerk	1	2	2	Internal audit to review	A

No	ITEM	HAZARD	PERSONS IN DANGER	SEVERITY 1-10	LIKELIHOOD 1-10	RISK RATE	MEASURES /COMMENTS	RESULT
F21	HMRC requirements not met	Costs Litigation	Clerk	1	3	3	Clerk to liaise as necessary	A
F22	Failure to comply with deadlines for accounts and returns	Poor auditor's report Reduction in confidence	Clerk	1	2	2	Clerk to liaise with internal and external audit	A

Results Key – T = TRIVIAL RISK A = ADEQUATELY CONTROLLED RISK N = NOT ADEQUATELY CONTROLLED U = UNABLE TO DECIDE (MORE INFORMATION REQUIRED)

RISK ASSESSMENT CARRIED OUT BY: Nicola Webster DATE: 06/02/2021 Signature:

DRAFT

Risk Assessment Scoring Matrix

Likelihood	Severity					
	Multiple Death (10)	Single Death (8)	Major Injury (6)	Lost Time Injury (4)	Minor Injury (2)	Delay (1)
Certain (10)	100	80	60	40	20	10
Very Likely (8)	80	64	48	32	16	8
Likely (6)	60	48	36	24	12	6
May Happen (4)	40	32	24	16	8	4
Unlikely (2)	20	16	12	8	4	2
Very Unlikely (1)	10	8	6	4	2	1
<i>Score</i>	<i>Priority</i>	<i>Action</i>				
1 – 16	LOW	Action is required to reduce the risk, although low priority.				
17 – 36	MEDIUM	Action required to control. Interim measures may be necessary in the short term.				
37 – 100	HIGH	Action required urgently to control risks. Unacceptable. Immediate action required.				